

**David A. Frisch**



ADV Part 2B, Brochure Supplement  
Dated: March 28, 2025

Contact: Joyce A. Streithorst, Chief Compliance Officer  
445 Broad Hollow Road, Suite 215  
Melville, NY 11747

**This Brochure Supplement provides information about David A. Frisch that supplements the Frisch Financial Group, Inc. (“Frisch Financial”) Brochure; you should have received a copy of that Brochure. Please contact Joyce A. Streithorst, Chief Compliance Officer, if you did *not* receive Frisch Financial’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about David A. Frisch is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

David A. Frisch was born in 1968. Mr. Frisch graduated from the State University of New York at Binghamton School of Management in 1991, with a Bachelor of Science degree in Accounting. Mr. Frisch is the Founder and a Wealth Management Advisor of Frisch Financial Group, Inc. since June 1999. Mr. Frisch is currently the Chief Executive Officer (CEO).

Mr. Frisch has been a CERTIFIED FINANCIAL PLANNER™ since 1994. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

### CERTIFIED FINANCIAL PLANNER® professional

Individuals who are certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, may refer to themselves as a CERTIFIED FINANCIAL PLANNER® professional or a CFP® professional, and may use these and the other certification marks (the “CFP Board Certification Marks”) that Certified Financial Planner Board of Standards Center for Financial Planning, Inc. has licensed to CFP Board in the United States. The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- Education – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor’s degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor’s or higher degree or completed a financial planning development capstone course.
- Examination – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- Experience – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- Ethics – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics

requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- Ethics – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

Continuing Education – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. **Certified Public Accountant.** David Frisch is a Certified Public Accountant (“CPA”). While Mr. Frisch could provide accounting and/or tax preparation services to clients of Frisch Financial, Mr. Frisch does not seek to provide tax preparation services. Since the representatives of Frisch Financial are unlikely to recommend Mr. Frisch, there is unlikely to be a conflict of interest. No client is under any obligation to engage any professional recommended by Frisch Financial in such a capacity.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

Frisch Financial provides investment advisory and supervisory services in accordance with Frisch Financial’s policies and procedures manual. The primary purpose of Frisch Financial’s Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser’s Act (“*Act*”). Frisch Financial’s Chief Compliance Officer, Joyce A. Streithorst, is primarily responsible for the implementation of Frisch Financial’s policies and procedures and overseeing the activities of Frisch Financial’s supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of Frisch Financial have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Frisch Financial’s supervision or compliance practices, please contact Ms. Streithorst at (516) 694-7900.

**Joyce A. Streithorst**



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Dated: March 28, 2025

Contact: Joyce A. Streithorst, Chief Compliance Officer  
445 Broad Hollow Road, Suite 215  
Melville, NY 11747

**This Brochure Supplement provides information about Joyce A. Streithorst that supplements the Frisch Financial Group, Inc. (“Frisch Financial”) Brochure. You should have received a copy of that Brochure; please contact Joyce A. Streithorst, Chief Compliance Officer, if you did *not* receive Frisch Financial’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Joyce A. Streithorst is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Joyce A. Streithorst was born in 1970. Ms. Streithorst graduated from Adelphi University in 1993, with a Bachelor of Business Administration degree and from The American College in 2002, with a Master of Science degree in Financial Services. Ms. Streithorst has been a Wealth Management Advisor of Frisch Financial Group, Inc. since September of 2004, and a shareholder since 2020.

Ms. Streithorst has been a CERTIFIED FINANCIAL PLANNER™ since 1995. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

### CERTIFIED FINANCIAL PLANNER® professional

Individuals who are certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, may refer to themselves as a CERTIFIED FINANCIAL PLANNER® professional or a CFP® professional, and may use these and the other certification marks (the “CFP Board Certification Marks”) that Certified Financial Planner Board of Standards Center for Financial Planning, Inc. has licensed to CFP Board in the United States. The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- Education – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor’s degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor’s or higher degree or completed a financial planning development capstone course.
- Examination – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- Experience – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- Ethics – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board

#### Certification Marks:

- Ethics – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

Continuing Education – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Ms. Streithorst has held the designation of Certified Divorce Financial Analyst (CDFA™) since 2009. CDFA™ professionals must develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study approved by the Institute for Divorce Financial Analysts. CDFA™ professionals must have two years minimum experience in a financial or legal capacity prior to earning the right to use the CDFA™ certification mark.

#### **Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

Ms. Streithorst is supervised by David A. Frisch, the CEO of Frisch Financial.

Frisch Financial provides investment advisory and supervisory services in accordance with Frisch Financial’s policies and procedures manual. The primary purpose of Frisch Financial’s Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser’s Act (“*Act*”). Frisch Financial’s Chief Compliance Officer, Joyce A. Streithorst, is primarily responsible for the implementation of Frisch Financial’s policies and procedures and overseeing the activities of Frisch Financial’s supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of Frisch Financial have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Frisch Financial’s supervision or compliance practices, please contact Ms. Streithorst at (516) 694-7900.

**Jason M. Sacks**



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Contact: Joyce A. Streithorst, Chief Compliance Officer  
445 Broad Hollow Road, Suite 215  
Melville, NY 11747

**This Brochure Supplement provides information about Jason M. Sacks that supplements the Frisch Financial Group, Inc. (“Frisch Financial”) Brochure; you should have received a copy of that Brochure. Please contact Joyce A. Streithorst, Chief Compliance Officer, if you did *not* receive Frisch Financial’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Jason M. Sacks is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Jason M. Sacks was born in 1974. Mr. Sacks graduated from the University of Delaware in 1996, with a Bachelor of Arts degree in Economics. Mr. Sacks has been a Wealth Management Advisor of Frisch Financial Group, Inc. since February of 2006, and has been a shareholder since December 2010. Mr. Sacks is currently the President of Frisch Financial Group, Inc.

Mr. Sacks has been a CERTIFIED FINANCIAL PLANNER™ since 2010. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

### CERTIFIED FINANCIAL PLANNER® professional

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CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- Education – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor’s degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor’s or higher degree or completed a financial planning development capstone course.
- Examination – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- Experience – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- Ethics – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics



requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- Ethics – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

Continuing Education – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

Mr. Sacks is supervised by David A. Frisch, the CEO of Frisch Financial.

Frisch Financial provides investment advisory and supervisory services in accordance with Frisch Financial’s policies and procedures manual. The primary purpose of Frisch Financial’s Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser’s Act (“*Act*”). Frisch Financial’s Chief Compliance Officer, Joyce A. Streithorst, is primarily responsible for the implementation of Frisch Financial’s policies and procedures and overseeing the activities of Frisch Financial’s supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of Frisch Financial have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Frisch Financial’s supervision or compliance practices, please contact Ms. Streithorst at (516) 694-7900.

**Item 1 Cover Page**

**Shawn P. Gallagher**



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Contact: Joyce A. Streithorst, Chief Compliance Officer  
445 Broad Hollow Road, Suite 215  
Melville, NY 11747

**This Brochure Supplement provides information about Shawn Gallagher that supplements the Frisch Financial Group, Inc. (“Frisch Financial”) Brochure; you should have received a copy of that Brochure. Please contact Joyce A. Streithorst, Chief Compliance Officer, if you did *not* receive Frisch Financial’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Shawn P. Gallagher is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Shawn P. Gallagher was born in 1981. Mr. Gallagher graduated from Syracuse University in 2004, with a Bachelor of Arts degree in Industrial Design. Mr. Gallagher has been a Wealth Management Advisor of Frisch Financial Group, Inc. since March 2015 and has been a shareholder since 2022. From February 2014 to February 2015, Mr. Gallagher was an investment advisor representative with TIAA-CREF. From April 2011 to February 2014, he was a financial consultant with E\*Trade. From March 2007 to April 2011, Mr. Gallagher was an investment counselor at T. Rowe Price.

Mr. Gallagher has been a CERTIFIED FINANCIAL PLANNER™ since 2013. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 88,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must currently satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Mr. Gallagher has also held the designation of Chartered Retirement Planning Counselor (CRPC®) since November 2011. The College of Financial Planning® awards the CRPC® designation to applicants who complete the CRPC® professional education program, pass a final examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two (2) years the designee must renew their right to continue using the CRPC® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

Mr. Gallagher is supervised by Joyce A. Streithorst, a shareholder of Frisch Financial.

Frisch Financial provides investment advisory and supervisory services in accordance with Frisch Financial’s policies and procedures manual. The primary purpose of Frisch Financial’s Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser’s Act (“*Act*”). Frisch Financial’s Chief Compliance Officer, Joyce A. Streithorst, is primarily responsible for the implementation of Frisch Financial’s policies and procedures and overseeing the activities of Frisch Financial’s supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of Frisch Financial have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Frisch Financial’s supervision or compliance practices, please contact Ms. Streithorst at (516) 694-7900.

Item 1 Cover Page

**Josiah S. Choy**



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Contact: Joyce A. Streithorst, Chief Compliance Officer  
445 Broad Hollow Road, Suite 215  
Melville, NY 11747

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**Additional information about Josiah S. Choy is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Josiah S. Choy was born in 1987. Mr. Choy graduated from Stony Brook University in 2008, with a Bachelor of Science degree in Finance. Mr. Choy has been Portfolio Manager at Frisch Financial Group, Inc. since May 2024, having previously served as a Portfolio Analyst since May 2017. From September 2012 to May 2017, Mr. Choy was an associate with BlackRock, Inc. From November 2013 to June 2014, he was a Research Analyst with The Motley Fool. From January 2008 to September 2012, Mr. Choy was a Registered Representative at M Holdings Securities, Inc.

Mr. Choy has been a CFA Charterholder since 2013. The Chartered Financial Analyst (CFA) designation is a globally respected, graduate-level investment credential established in 1962 and awarded by the CFA Institute, the largest global association of investment professionals.

To earn the CFA designation, candidates must (1) pass three sequential, six-hour examinations, (2) have at least four years of qualified professional investment experience, (3) join the CFA Institute as members, and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

*High Ethical Standards* - The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, requires CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

*Global Recognition* - Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study at each level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision-making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders, often making the charter a prerequisite for employment.

Additionally, regulatory bodies in 19 countries recognize the CFA charter as a proxy for meeting certain licensing requirements. More than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

*Comprehensive and Current Knowledge* - The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test proficiency in a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment management skills to reflect the dynamic and complex nature of the profession.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

A. The supervised person is not actively engaged in any other investment-related businesses or occupations.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

Mr. Choy is supervised by Jason M. Sacks, the President of Frisch Financial.

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Item 1 Cover Page

**Peter Vitale**



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**Additional information about Peter Vitale is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



## **Item 2 Education Background and Business Experience**

Peter Vitale was born in 1976. Mr. Vitale has been Director of Business Development of Frisch Financial Group since July 2021. From April 2021 to July 2021, Mr. Vitale was a private client advisor with J.P. Morgan. From June 2020 to March 2021, he was a registered representative and financial consultant with Fidelity. From August 1998 to June 2020, Mr. Vitale was an investment consultant at TD Waterhouse Investor Services.

Mr. Vitale has held the Accredited Asset Management Specialist (AAMS®) designation since 2024. The AAMS is only for an investment professional who has successfully satisfied requirements of an in-depth course of study focusing exclusively on asset management set forth by the College for Financial Planning. To be entitled to use this designation, AAMS designees must have passed a rigorous examination, signed a code of professional ethics and completed a disclosure form attesting to their professional conduct .

Mr. Vitale has also held the designation of Chartered Retirement Planning Counselor (CRPC®) since 2024. The College of Financial Planning® awards the CRPC® designation to applicants who complete the CRPC® professional education program, pass a final examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two (2) years the designee must renew their right to continue using the CRPC® designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

## **Item 3 Disciplinary Information**

None.

## **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

## **Item 5 Additional Compensation**

None.

## **Item 6 Supervision**

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Item 1 Cover Page

**Samandar Kasimov**



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445 Broad Hollow Road, Suite 215  
Melville, NY 11747

**This Brochure Supplement provides information about Samandar Kasimov that supplements the Frisch Financial Group, Inc. (“Frisch Financial”) Brochure; you should have received a copy of that Brochure. Please contact Joyce A. Streithorst, Chief Compliance Officer, if you did *not* receive Frisch Financial’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Samandar Kasimov is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Samandar Kasimov was born in 1978. Mr. Kasimov graduated from CUNY, Bernard Baruch College in 2015, with a Bachelor of Business Administration degree in Investing and Economics. Mr. Kasimov has been an Investment Analyst for Frisch Financial Group, Inc. since October 2024. From October 2016 to October 2024, Mr. Kasimov was a Portfolio Associate with Neuberger Berman. Prior to that between October 2015 to October 2016, Mr. Kasimov was an Investment Accountant for Dreyfus Corp., between April 2015 to July 2015, an Operations Analyst intern at Advent Capital Management, and between January 2015 to April 2015, a financial analyst for Safed Inc.

Mr. Kasimov is in the process of obtaining his Chartered Financial Analyst (CFA) designation, which is a globally respected graduate-level investment credential established in 1962 and awarded by the CFA Institute, the largest global association of investment professionals. To earn the CFA designation, candidates must (1) pass three sequential, six-hour examinations, (2) have at least four years of qualified professional investment experience, (3) join the CFA Institute as members, and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct. As of June 2024, Mr. Kasimov achieved the Certificate in ESG Investing.

## **Item 3 Disciplinary Information**

None.

## **Item 4 Other Business Activities**

Mr. Kasimov is not actively engaged in any other investment-related businesses or occupations.

## **Item 5 Additional Compensation**

Mr. Kasimov is not actively engaged in any non-investment-related business or occupation for compensation.

## **Item 6 Supervision**

Mr. Kasimov is supervised by Jason M. Sacks, the President of Frisch Financial.

Frisch Financial provides investment advisory and supervisory services in accordance with Frisch Financial's policies and procedures manual. The primary purpose of Frisch Financial's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("*Act*"). Frisch Financial's Chief Compliance Officer, Joyce A. Streithorst, is primarily responsible for the implementation of Frisch Financial's policies and procedures and overseeing the activities of Frisch Financial's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of Frisch Financial have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding Frisch Financial's supervision or compliance practices, please contact Ms. Streithorst at (516) 694-7900.